



External Privacy Policy

For Purpose Investment Partners Limited
ACN 629 296 706

Approved and with effect from September 2021

1. Introduction

For Purpose Investment Partners Limited has been incorporated for the purpose of advancing health, education and social and public welfare by catalysing, supporting and funding organisations, programs and other initiatives that have a core purpose of generating positive health, education and social or public welfare outcomes (the “Purpose”).

2. General

This is the For Purpose Investment Partners Limited ‘**External Privacy Policy**’.

For Purpose Investment Partners Limited ACN 629 296 706 (“For Purpose” or “FPIP”) is a public company limited by guarantee. It is a charity registered with the Australian Charities and Not-for-profits Commission (“ACNC”). It operates as an investment manager, managing capital on behalf of third-party investors and makes investments in social impact investments that deliver both attractive risk-adjusted returns and meaningful, positive social impact.

Every For Purpose employee must regularly familiarise themselves with this External Privacy Policy as well as the company’s purpose and constitution.

3. Purpose

This Privacy Policy set outs For Purpose’s policies on the management of personal information it collects, uses, discloses and stores as defined by and in accordance with the Australian Privacy Act 1988 (Privacy Act) and the Australian Privacy Principles (APPs) contained in the Privacy Act, which For Purpose is bound by.

A copy of the Act and the APPs can be obtained from the website of the Office of the Australian Information Commissioner (www.oaic.gov.au).

4. Changes to this External Privacy Policy

As we plan to ensure our External Privacy Policy remains current, this Policy is subject to change. Our most current External Privacy Policy will appear on our internet site and you should return periodically to our internet site to review our External Privacy Policy.

Last updated: September 2021

5. Collection

The type of information For Purpose generally collects and holds includes (but is not limited to) personal information from employees, individuals at investors and investee companies, people who contact us with enquiries, e.g. via our website and from clients in the course of providing our services, conducting business on their behalf and communicating with them.

The kind of personal information which For Purpose collects and holds includes (but is not limited to) names, address and contact details as well as other personal information necessary for providing the financial services requested by its clients.

6. Use and disclosure

For Purpose generally uses personal information it collects to:

1. respond to enquiries;
2. perform our services; and
3. communicate with clients and inform them about our services.

For Purpose may disclose personal information to external contractors and service providers we engage in the normal course of our business, such as our legal and technology advisors, to enable us to provide our services. We may also disclose personal information about individuals to overseas contractors and service providers. We expect our contractors and service providers to comply with the Privacy Act and APPs.

For Purpose will only use or disclose personal information about a person for the primary purpose for which it was collected or for another secondary purpose if:

1. that other purpose is related to the primary purpose of collection;
2. the person would reasonably expect us to use or disclose the information for the secondary purpose;
3. the person has consented to the use or disclosure; or
4. the use or disclosure is permitted or required under the law, including for customer verification purposes in relation to our financial services, including (but not limited to) AUSTRAC in respect of any suspicious or threshold transactions or activities, or as otherwise permitted under the APPs.

You may at any stage opt out of receiving any marketing or promotional communications from For Purpose. If you do not wish to consent to us using your personal information for any marketing purposes, please contact our Privacy Officer via the contact details at paragraph 1.2 above.

6.1 Information collected and stored using our website

When you look at our website, a record is made of your visit and for the purpose of analysing and evaluating the performance and operation of our website, the following information is logged:

1. your IP address;
2. the date and time of your visit to the site;
3. the pages you accessed and documents downloaded;
4. the previous site you have visited; and
5. the type of browser you are using.

6.2 How we use cookies

A “cookie” is a type of file, often including a unique identifier, that is sent by a web server to web browsers, and which may then be sent back to the server each time a browser requests a page from the server. The purpose of a cookie is to help analyse web traffic and may let the website know when you visit a particular site. Cookies allow a web application to respond to you as an individual. They can record information about your visit to the site, allowing it to remember you next time you visit and provide any relevant information to you. Cookies are also used to enhance the secure use of websites.

Cookies can be stored in your hard drive (“persistent cookies”) or in memory (“session cookies”). A persistent cookie is a cookie which will enable a continuing record to be kept of

your visits to the website. A session cookie is a cookie which is a transient cookie and tracks your visits for a website session only.

For Purpose only uses session cookies to identify which pages of the website are being used for a website session only. Upon closing your browser the session cookie set by this website is destroyed and no personal information is maintained. For Purpose does not make any attempt to identify users or their general browsing activities.

7. Data quality and security

For Purpose is committed to ensuring that all the personal information it collects remains accurate, complete and up to date. For Purpose will from time to time request that clients and information providers update relevant personal details.

For Purpose stores personal information in both hard copy and electronic format in its offices. Personal information stored electronically is maintained in a secure environment. These records are only accessible to personnel of For Purpose who require access to enable them to perform their duties. All personnel have signed Privacy and Confidentiality Agreements binding them to comply with the APPs.

For Purpose will destroy records of personal information when it is no longer necessary to be retained within For Purpose records. Personal information will be destroyed by shredding or other secure process.

8. Access to records

Any requests for access to personal information held by For Purpose should be made in writing to the For Purpose Privacy Officer. Individuals may request access to the personal information For Purpose holds about them by completing the For Purpose Request for Information Form and forwarding the Form to For Purpose's Privacy Officer. Copies of the Form are available from the Privacy Officer. No reasons have to be provided for seeking access. For Purpose will respond to a request to access to personal information as soon as possible. Where For Purpose holds information to which a person is entitled to access, it will endeavour to provide a suitable range of choices as to how access may be given. Where For Purpose is unable to provide access For Purpose will provide the individual with reasons for the refusal.

If a person believes that information held by For Purpose is incorrect, incomplete or inaccurate they may request amendment of that personal information. For Purpose will consider if the information requires amendment, and will respond to a request to amend personal information as soon as possible. If For Purpose does not agree that there is any ground for amendment it will, if the person seeking the amendment requires, place with that person's personal information, a statement from that person as to why the information is not accurate or up to date. Where For Purpose is unable to correct the personal information For Purpose will provide the individual with reasons for the refusal.

9. How to contact us

For Purpose's contact details are as follows:

Registered Address: 52 Victoria Street Paddington NSW 2021
Mail: 52 Victoria Street Paddington NSW 2021
Telephone: +61 2 9397 1400
Email: enquiries@fpinvest.com.au
Website: www.fpinvest.com.au
Privacy Officer: Michael Traill

10. Complaints procedure

For Purpose is committed to providing those persons whose personal information it holds, a fair and responsible system for the handling of complaints concerning the collection, accuracy or disclosure of personal information.

For Purpose has a designated Privacy Officer whose role includes dealing with complaints, concerns or queries that individuals may have with respect to personal information held by For Purpose. Should you have any issues concerning your personal information, they should be made in writing and addressed to the Privacy Officer of For Purpose at the address referred to in paragraph 1.2 of this Policy.

The Privacy Officer is empowered to deal with all such complaints as expeditiously as possible through For Purpose's complaints handling process. However, if after you have put your concerns in writing and we have had an opportunity to address them, you feel that we have not adequately dealt with your concerns you may refer the matter to the Office of the Australian Information Commissioner at:

Postal: GPO Box 5218, Sydney NSW 2001
Telephone: 1300 363 992
Facsimile: (02) 9284 9666
Email: enquiries@oaic.gov.au