 **Training Register**

|  |  |
| --- | --- |
| Training Details | Knowledge Areas |
| Date | Training Source | Training Name | General | Technical | Client Care & Practice | Reg Compliance & Consumer Protection | Professionalism & Ethics |
| 05/01/2019 | Kaplan Online | Smart Ethics – be smart |  |  |  |  | 1 |
| 15/01/2019 | PD Day | Licensee Annual PD day | 4 |  |  | 1.0 |  |
| 31/01/2019 | Industry Breakfast | How to sell advise in a compliant fashion |  |  |  | 1.5 |  |
| 05/02/2019 | Kaplan Online | Share fundamentals |  | 1 |  |  |  |
| 06/02/2019 | Kaplan online | How to deal with underinsurance |  |  | 1 |  |  |
| 06/02/2019 | Reading | ASIC RG146 – training, page 1-40 | 1 |  |  |  |  |
| 08/02/2019 | Reading | Technical Analysis for beginner’s by Mr Smith page 1-20 | 0.4 |  |  |  |  |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
| **Total Training Completed**  | 5.4 | 1 | 1 | 2.5 | 1 |
| **Total Training Required** | N/A | 5 | 5 | 5 | 9 |