

Compound Advisers, Inc.

Form ADV Part 2B – Brochure Supplement

for

Steven Gabriel Walraven

CRD # 6172709

Compound Advisers, Inc.
2261 Market Street, #4013
San Francisco, CA 94114
Phone: (415) 417-1180
www.withcompound.com

Additional information about Steven Gabriel Walraven is available on the SEC's website at www.adviserinfo.sec.gov.

January 12th, 2023

ITEM 1 COVER PAGE

Please see previous page.

ITEM 2 EDUCATIONAL BACKGROUND AND BUSINESS

Steven G. Walraven was born in 1987. Mr. Walraven graduated from the University of California at Berkeley in 2010 with a bachelor's degree in Economics.

Mr. Walraven has been a Financial Advisor of Compound Advisers, Inc. since June 2021. From May 2017 through June 2021, Mr. Walraven was a Vice President of Lenox Advisors. From January 2013 through April 2017, Mr. Walraven was a Portfolio Analyst and an Associate Portfolio Manager with City National Rochdale. From June 2011 through January 2013, Mr. Walraven was a Client Associate of Fisher Investments.

Mr. Walraven has been a CFA® Charterholder since 2016. CFA® designates an international professional certificate that is offered by the CFA Institute. The Chartered Financial Analyst® (CFA®) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals. 80 There are currently more than 178,000 CFA® Charterholders working in over 170 countries and regions. To earn the CFA® charter, candidates must: (1) pass three sequential, six-hour examinations; (2) have at least four years of qualified professional investment experience; (3) join CFA Institute as members; and (4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

High Ethical Standards

The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, require CFA® Charterholders to:

- Place their clients' interests ahead of their own
- Maintain independence and objectivity
- Act with integrity
- Maintain and improve their professional competence
- Disclose conflicts of interest and legal matters

Global Recognition

Passing the three CFA exams is a difficult feat that requires extensive study (successful candidates report spending an average of 300 hours of study per level). Earning the CFA® charter demonstrates mastery of many of the advanced skills needed for investment analysis and decision making in today's quickly evolving global financial industry. As a result, employers and clients are increasingly seeking CFA® Charterholders —often making the charter a prerequisite for employment. Additionally, regulatory bodies in 38 countries/territories recognize the CFA® charter as a proxy for meeting certain licensing requirements, and more than 466 colleges and universities around the world have incorporated a majority of the CFA Program curriculum into their own finance courses.

Comprehensive and Current Knowledge

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test a proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed-income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning. The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession.

ITEM 3 DISCIPLINARY INFORMATION

Compound Advisors, as a registered investment adviser, is required to disclose all material facts regarding any legal or disciplinary event that would be material to your evaluation of him. However, Mr. Walraven has no applicable legal or disciplinary events required to be disclosed under this Item.

ITEM 4 OTHER BUSINESS ACTIVITIES

None to report.

ITEM 5 ADDITIONAL COMPENSATION

None to report.

ITEM 6 SUPERVISION

Steven G. Walraven's activities are supervised by Jordan Gonen, CEO. Mr. Gonen may be contacted at (415) 417-1180 or at jordan@withcompound.com.

ITEM 7: REQUIREMENTS FOR STATE REGISTERED ADVISERS

This disclosure is required by state securities authorities and is provided for your use in evaluating this investment adviser representative's suitability.

A. Steven G. Walraven has NOT been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:

- a) an investment or an investment-related business or activity;
- b) fraud, false statement(s), or omissions;

- c) theft, embezzlement, or other wrongful taking of property;
- d) bribery, forgery, counterfeiting, or extortion; or
- e) dishonest, unfair, or unethical practices.

2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:

- a) an investment or an investment-related business or activity;
- b) fraud, false statement(s), or omissions;
- c) theft, embezzlement, or other wrongful taking of property;
- d) bribery, forgery, counterfeiting, or extortion; or
- e) dishonest, unfair, or unethical practices.

B. Steven G. Walraven has NOT been the subject of a bankruptcy petition in the past ten years.