

FinSA Client Information

Based on the legal requirements of Art. 8 et seq. of the Financial Services Act (FinSA), the following provides an overview of Entrepreneur Partners AG (hereinafter the «Financial Institution») and its services.

A. Company information

Address

Entrepreneur Partners AG
Seestrasse 356
8038 Zurich
Phone: +41 (0)44 488 40 10
E-Mail: info@enpa.ch
Website: www.enpa.ch

The Financial Institution was founded in 2008.

Supervisory Authority and Audit Firm

The Financial Institution has been licensed as a manager of collective assets since 2013 and is therefore subject to prudential supervision by the Swiss Financial Market Supervisory Authority FINMA. As part of this supervision, the Financial Institution is audited and reviewed annually by the auditing company BDO, both in terms of supervisory law and obligations. The address of FINMA and BDO can be found below.

Federal Financial Market Supervisory Authority FINMA
Laupenstrasse 27
3003 Bern
Phone: +41 31 327 91 00
E-Mail: info@finma.ch
Website: www.finma.ch

BDO AG
Schiffbaustrasse 2
8031 Zurich
Phone: +41 44 444 35 55
E-Mail: info@bdo.ch
Website: www.bdo.ch

Ombudsman

The Financial Institution is affiliated with the independent ombudsman's office FINOS, which is recognised by the Federal Department of Finance. Disputes about legal claims between clients and the Financial Institution should, if possible, be settled by the ombudsman's office within the framework of a mediation procedure. The address of FINOS is as follows.

Swiss Finance Ombudsman (FINOS)
Talstrasse 20
8001 Zurich
Phone: +41 44 552 08 00
E-Mail: info@finos.ch
Website: www.finos.ch

B. Information on the offered financial services

The Financial Institution provides asset management services and transaction-based investment advisory services to its clients. In the case of a transaction-based investment advisory mandate with the Financial Institution, a personal recommendation relating to individual financial instruments is given to the client. The decision to buy or sell always ultimately remains with the client.

The Financial Institution also provides financial services in the area of collective investment schemes. For information on the various collective investment schemes, the general risks, characteristics and modes of operation, please refer to the relevant prospectuses and factsheets on this website.

The Financial Institution guarantees neither a return nor a success within the scope of the investment activity. The investment activity may therefore lead to an increase in value but also to a loss in value.

The Financial Institution has all the necessary licences to perform the services described above.

C. Client segmentation

Financial service providers must assign their clients to a legally defined client segment. The Financial Services Act provides for the segments «private clients», «professional clients» and «institutional clients». For each client, a client classification is determined within the framework of the cooperation with the Financial Institution. Subject to compliance with certain conditions, the client can change the client classification by so-called opting-in or opting-out.

D. Information on risks and costs

General risks associated with financial instruments transactions

The investment advisory and asset management services involve financial risks. The Financial Institution shall inform the client about risks and refer to the brochure «Risks Involved in Trading Financial Instruments» of the Swiss Bankers Association. This brochure can be viewed at www.swissbanking.org.

Clients of the Financial Institution can contact their client advisor at any time if they have any further questions.

Risks associated with the offered services

For a presentation of the various risks that may arise from the investment strategy for the client's assets, reference is made to the corresponding investment advisory or asset management contracts.

When providing investment advice, the Financial Institution shall provide its private clients with the basic information sheet of the recommended financial instrument. Delivery is equivalent to electronic delivery (e.g. e-mail).

Information on costs

A fee is charged for the services rendered, which is normally calculated on the assets under management and/or on a performance basis. For more detailed information, please refer to the relevant investment advisory or asset management contracts.

E. Information about relationship with Third Parties

In connection with the financial services offered by the Financial Institution, economic ties may exist with third parties. The acceptance of payments from third parties and their treatment are regulated in detail and comprehensively in the respective investment advisory and asset management contracts.

F. Information on the market offer considered

The Financial Institution basically pursues an «open universe approach» and tries to make the best possible choice for the client when selecting financial instruments. The Financial Institution's own collective capital investments can - where appropriate - be used in the asset management mandates or recommended within the scope of investment advice.