

## **PRIVACY NOTICE**

April 01, 2021

To All Clients of Intersect Capital:

On June 22, 2000, the Securities and Exchange Commission (the “SEC”) adopted Regulation S-P, “Privacy of Consumer Financial Information.” As investment advisors, we are required to provide notice to our clients about our company policies with regards to non-public personal information gathered about you in the course of providing our services. Our policy on non-public personal information is as follows:

**“We collect non-public personal information about you from the following sources:**

- **Information we receive from you on applications or other forms;**
- **Information about your transactions with us, our affiliates or others;**

**We do not disclose any non-public personal information about our customers or former customers to anyone, except as permitted by law.**

**We restrict access to non-public personal information about you to those employees who need that information to provide services to you. We maintain physical, electronic, and procedural safeguards that comply with federal standards to guard your personal information.”**

If you have any questions on our privacy policy, please contact us at 925-790-2894.