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**March 2020**

# Luke Z. Milholland

**526 SW 4th Street**
**Suite 600**
**Moore, OK 73160**
**www.VaultWealthStrategies.com**

This brochure supplement provides information about Mr. Milholland that supplements our brochure. You should have received a copy of that brochure. Please contact Mr. Milholland if you did not receive Vault Wealth Strategies, LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Milholland is available on the SEC's website at www.adviserinfo.sec.gov by searching CRD #5478288.

## Item 2: Educational Background & Business Experience

**Luke Zachary Milholland**
**Year of Birth:** 1986

**Educational Background:**

- 2009: University of Oklahoma; Bachelor of Business Administration in Entrepreneurship and Venture Management

**Business Background:**

- 06/2017 – Present Vault Wealth Strategies, LLC; Managing Member, Chief Compliance Officer & Investment Adviser Representative
- 06/2017 – Present Vault Insurance Brokerage, LLC; Managing Member & Insurance Agent
- 11/2018 – Present Chamberlain Realty, LLC; Real Estate Agent
- 06/2015 – 06/2017 Pruco Securities, LLC (dba Vault Financial Solutions); Financial Planner
- 06/2015 – 06/2017 The Prudential Insurance Company of America; Agent
- 01/2008 – 06/2015 Northwestern Mutual Investment Services; Financial Advisor
- 05/2007 – 09/2007 Southwestern Company; Consultant

**Exams, Licenses & Other Professional Designations:**

- 2017: Certified Financial Planner, CFP®
- 2015: Chartered Financial Consultant (ChFC®)
- 2015: Series 65 Exam
- 2012: Chartered Life Underwriter (CLU®)
- 2008: Series 6 & 63 Exams
- 2007: OK Insurance License # 0040025888
- 2018: OK Real Estate License # 180427

The CFP® certification is obtained by completing an advanced college-level course of study addressing the financial planning subject areas that the CFP® Board's studies have determined as necessary for the competent and professional delivery of financial planning services, a comprehensive certification exam and agreeing to be bound by the CFP® board's *Standard of Professional Conduct*. As a prerequisite, the individual must have a Bachelor's degree from a regionally accredited United States college or university (or foreign university equivalent) and have at least 3 years of full time financial planning experience (or equivalent measured at 2,000 hours per year). This designation requires 30 hours of continuing education every 2 years and renewing an agreement to be bound by the *Standards of Professional Conduct*.

The ChFC® designation is offered by The American College. Designation holders are required to serve clients with the highest level of professionalism. The authority to use the ChFC® mark is granted by the Certification Committee of the Board of Trustees of The American College, and that privilege is contingent on adherence to strict ethical guidelines. All ChFC® advisors are required to do the same for clients that they would do for themselves in similar circumstances, the standard of ethical behavior most beneficial for their clients. Each ChFC® has taken 9 or more college-level courses on all aspects of financial planning. The average study time for the program is over 400 hours, and

advisors frequently spend years earning this coveted distinction. Each ChFC® must also complete a minimum of 30 hours of continuing education every two years and must meet extensive experience requirements to ensure that you get the professional financial advice you need.

The CLU® is offered by The American College. The CLU® designation is obtained by completing 8 core, 3 elective courses and a final exam for each course. As a prerequisite the IAR must have 3 years of full time business experience within the 5 years preceding the awarding of the designation. All candidates must meet ethics standards and agree to comply with The American College Code of Ethics and Procedures. This designation requires 30 hours of continuing education every 2 years.

## Item 3: Disciplinary Information[1]

There are no legal or disciplinary events material to the evaluation of Mr. Milholland.

## Item 4: Other Business Activities

Mr. Milholland is the Managing Member of and a licensed insurance agent with Vault Insurance Brokerage, LLC. He may offer insurance products and receive customary fees as a result of insurance sales. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation earned. To mitigate this potential conflicts, Mr. Milholland, as a fiduciary, will act in the client's best interest. Mr. Milholland spends approximately ten (10) hours per month in this role.

Mr. Milholland is a licensed real estate agent with Chamberlain Realty, LLC. He may offer his services as a real estate agent to clients in need of such services and receive customary fees as a result of any sales and/or purchases of property made while representing such individuals. A conflict of interest may arise as this relationship may create an incentive to offer these services based on the compensation earned. To mitigate this potential conflicts, Mr. Milholland, as a fiduciary, will act in the client's best interest. Mr. Milholland spends approximately ten (10) hours per month in this role.

## Item 5: Additional Compensation

Mr. Milholland does not receive any other economic benefit for providing advisory services in addition to advisory fees.

## Item 6: Supervision

[1] Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

Mr. Milholland is the sole owner and Chief Compliance Officer and as such has no internal supervision placed over him. He is, however, bound by our firm's Code of Ethics.

## Item 7: Requirements for State-Registered Advisers

Mr. Milholland has not been involved in any arbitration claim alleging damages in excess of $2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.

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# Craig Wilson

**526 SW 4th Street**
**Suite 600**
**Moore, OK 73160**
**www.VaultWealthStrategies.com**

**Firm Contact:**
**Luke Milholland**
**Chief Compliance Officer**

This brochure supplement provides information about Mr. Wilson that supplements our brochure. You should have received a copy of that brochure. Please contact Mr. Milholland if you did not receive Vault Wealth Strategies, LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Wilson is available on the SEC's website at www.adviserinfo.sec.gov by searching CRD #6638988.

## Item 2: Educational Background & Business Experience

**Richard Craig Wilson**
**Year of Birth:** 1981

**Educational Background:**

- 2005: MidAmerica Nazarene University; Bachelor of Science in Computer Science

**Business Background:**

- 07/2017 – Present Vault Wealth Strategies, LLC; Investment Adviser Representative
- 07/2017 – Present Vault Insurance Brokerage, LLC; Insurance Agent
- 06/2016 – 07/2017 The Prudential Insurance Company of America; Agent
- 04/2016 – 07/2017 Pruco Securities, LLC; Registered Representative
- 01/2015 – 04/2016 Devon Energy; Data Management
- 02/2014 – 01/2015 Access Midstream; Database Administrator
- 03/2013 – 02/2014 OG&E; Senior DMS Administrator
- 09/2007 – 03/2013 Chesapeake Energy; Database Administrator

**Exams, Licenses & Other Professional Designations:**

- 2016: Series 6, 63 & 65 Exams
- 2017: Series 65 Exam
- 2016: OK Insurance License #0100258183

## Item 3: Disciplinary Information[2]

There are no legal or disciplinary events material to the evaluation of Mr. Wilson.

## Item 4: Other Business Activities

Mr. Wilson is a licensed insurance agent with Vault Insurance Brokerage, LLC, an affiliated insurance agency. He may offer insurance products and receive customary fees as a result of insurance sales. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation earned. To mitigate this potential conflicts, Mr. Wilson, as a fiduciary, will act in the client's best interest.

[2] Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

## Item 5: Additional Compensation

Mr. Wilson does not receive any other economic benefit for providing advisory services in addition to advisory fees.

## Item 6: Supervision

Luke Milholland supervises and monitors Mr. Wilson's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Milholland if you have any questions about Mr. Wilson's brochure supplement at 405-928-4422.

## Item 7: Requirements for State-Registered Advisers

Mr. Wilson has not been involved in any arbitration claim alleging damages in excess of $2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.

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## William V. Malone

**526 SW 4th Street**
**Suite 600**
**Moore, OK 73160**
**www.VaultWealthStrategies.com**

This brochure supplement provides information about Mr. Malone that supplements our brochure. You should have received a copy of that brochure. Please contact Mr. Malone if you did not receive Vault Wealth Strategies, LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Malone is available on the SEC's website at www.adviserinfo.sec.gov by searching CRD #6593547.

## Item 2: Educational Background & Business Experience

**William Victor Malone**
**Year of Birth:** 1970

**Educational Background:**
William Malone attended Oklahoma State University, Oklahoma City Community College, and Oklahoma Baptist University.

**Business Background:**

- 11/2019-Present Vault Wealth Strategies, LLC, Investment Advisor Representative
- 11/2019-Present Community Hospital South, Sterile Processing Technician
- 01/2016-10/2019 Edward Jones, Financial Advisor
- 06/2014-12/2015 Integris Health, Inc., Contract Specialist
- 01/2011-06/2014 Integris Health, Inc., Surgical Technologist
- 02/2009-01/2011 Victory Consulting, LLC, Managing Member

## Item 3: Disciplinary Information[3]

There are no legal or disciplinary events material to the evaluation of Mr. Malone.

## Item 4: Other Business Activities

Mr. Malone is a Sterile Processing Technician at Community Hospital South and spends approximately 40 hours a week in this role.

## Item 5: Additional Compensation

Mr. Malone does not receive any other economic benefit for providing advisory services in addition to advisory fees.

[3] Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

## Item 6: Supervision

Luke Milholland, Chief Compliance Officer of Vault is responsible for supervising the investment advisory activities of William Malone. Luke Milholland monitors and reviews all forms of written communications that William Malone provides to clients. Luke Milholland can be contacted via telephone at 405-928-4422 and via email at luke@vaultwealthstrategies.com.

## Item 7: Requirements for State-Registered Advisers

Mr. Malone has not been involved in any arbitration claim alleging damages in excess of $2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.

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# Stephen D. Parr

**526 SW 4th Street**
**Suite 600**
**Moore, OK 73160**
**www.VaultWealthStrategies.com**

This brochure supplement provides information about Mr. Parr that supplements our brochure. You should have received a copy of that brochure. Please contact Mr. Parr if you did not receive Vault Wealth Strategies, LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Parr is available on the SEC's website at www.adviserinfo.sec.gov by searching CRD # 5214233.

## Item 2: Educational Background & Business Experience

**Stephen Davis Parr**
**Year of Birth:** 1984

**Educational Background:**

- 2007: University of Arkansas, Bachelor of Science in Business Administration in Finance
- 2009: London School of Economics, Master of Science in Economic Development

**Business Background:**

- 01/2020 – Present Vault Wealth Strategies, LLC; Investment Adviser Representative
- 03/2019 – Present Simple Modern, Production Lead
- 05/2016 – 12/2019 Upward Harvest; VP, Finance and Operations, Equity Owner
- 05/2014 – 05/2016 Seaport Global Securities, LLC; Institutional Equity Sales

## Item 3: Disciplinary Information[4]

There are no legal or disciplinary events material to the evaluation of Mr. Parr.

## Item 4: Other Business Activities

Mr. Parr is a Production Lead at Simple Modern, a consumer products company producing laser engraved reusable stainless steel bottles and cups. He spends approximately 25% of his time in this role.

## Item 5: Additional Compensation

Mr. Parr does not receive any other economic benefit for providing advisory services in addition to advisory fees.

## Item 6: Supervision

Luke Milholland, Chief Compliance Officer of Vault is responsible for supervising the

[4] Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

investment advisory activities of Stephen Parr. Luke Milholland monitors and reviews all forms of written communications that Stephen Parr provides to clients. Luke Milholland can be contacted via telephone at 405-928-4422 and via email at luke@vaultwealthstrategies.com.

## Item 7: Requirements for State-Registered Advisers

Mr. Parr has not been involved in any arbitration claim alleging damages in excess of $2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.

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## Derek Dorris

**526 SW 4th Street**
**Suite 600**
**Moore, OK 73160**
**www.VaultWealthStrategies.com**

This brochure supplement provides information about Mr. Dorris that supplements our brochure. You should have received a copy of that brochure. Please contact Mr. Dorris if you did not receive Vault Wealth Strategies, LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Dorris is available on the SEC's website at www.adviserinfo.sec.gov by searching CRD #5478288.

## Item 2: Educational Background & Business Experience

**Derek Todd Dorris**
**Year of Birth:** 1973

**Educational Background:**

- 1996: Southern Nazarene University; Bachelor of Science in Mathematics Education
- 2013; Southwestern Oklahoma State University; Masters in Educational Leadership

**Business Background:**

- 06/2019-Present Vault Wealth Strategies, Investment Advisor Representative
- 08/2019-Present Yukon Public School, Math Teacher
- 08/2018-05/2019 Putnam City Schools, Algebra II Teacher
- 06/2012-03/2018 Southern Nazarene University, Head Women's Basketball Coach
- 08/2010-05/2012 Moore Public Schools, Math Teacher & Basketball Coach
- 08/2007-07/2010 Waddell & Reed Financial Advisors, Financial Advisor

## Item 3: Disciplinary Information[5]

There are no legal or disciplinary events material to the evaluation of Mr. Dorris.

## Item 4: Other Business Activities

Mr. Dorris is a full-time teacher during the school year and spends approximately 128 hours a month in this role.

## Item 5: Additional Compensation

Mr. Dorris does not receive any other economic benefit for providing advisory services in addition to advisory fees.

[5] Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

## Item 6: Supervision

Luke Milholland, Chief Compliance Officer of Vault is responsible for supervising the investment advisory activities of Derek Dorris. Luke Milholland monitors and reviews all forms of written communications that Derek Dorris provides to clients. Luke Milholland can be contacted via telephone at 405-928-4422 and via email at luke@vaultwealthstrategies.com.

## Item 7: Requirements for State-Registered Advisers

Mr. Dorris has not been involved in any arbitration claim alleging damages in excess of $2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.