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**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, DC 20549**

FORM 6-K

**REPORT OF FOREIGN PRIVATE ISSUER
PURSUANT TO RULE 13a-16 OR 15d-16 UNDER
THE SECURITIES EXCHANGE ACT OF 1934**

For the Month of March 2020

Commission File No.: 001-37678

SPI ENERGY CO., LTD.

**Suite 2703, 27/F, China Resources Building
26 Harbour Road, Wan Chai
Hong Kong SAR, China
(Address of Principal Executive Offices.)**

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F Form 40-F

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

Other Information

On March 26, 2020, SPI Energy Co., Ltd. (the “Company”) announced that it received a notification letter from the Listing Qualifications Department of The Nasdaq Stock Market Inc. (“NASDAQ”) on March 23, 2020 indicating that the Company is not in compliance with NASDAQ Listing Rule 5450(b)(3)(C) for continued listing because the market value of its publicly held shares was less than \$15 million.

A copy of the press release is attached hereto as exhibit 99.1.

Financial Statements and Exhibits.

Exhibit Description
No.

99.1 [Press Release dated March 26, 2020](#)

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

Dated: March 26, 2020

SPI ENERGY CO., LTD.

By: /s/ Xiaofeng Peng

Name: Xiaofeng Peng

Title: Chief Executive Officer

EX-99.1 2 spi-ex9901.htm PRESS RELEASE
Exhibit 99.1

SPI Energy Co., Ltd. Announces Receipt of Delinquency Notice From NASDAQ

HONG KONG and SANTA CLARA, California, March 26, 2020 (GLOBE NEWSWIRE) -- SPI Energy Co., Ltd. ("SPI Energy" or the "Company") (NASDAQ: SPI), a global provider of green energy solutions for business, residential, government, utility customers, and investors, today announced that it received a notification letter (the "Notice") from the Listing Qualifications Department of The Nasdaq Stock Market Inc. ("Nasdaq") on March 23, 2020 indicating that the Company is not in compliance with NASDAQ Listing Rule 5450(b)(3)(C) (the "Rule") for continued listing because the market value of its publicly held shares ("MVPHS") was less than \$15 million.

The rules also provided that the Company has a compliance period of 180 calendar days, or until September 21, 2020 (the "Compliance Period"), to regain compliance.

If at any time during the Compliance Period, the Company's MVPHS closes at \$ 15,000,000 or more for a minimum of 10 consecutive business days, Nasdaq will provide the Company a written confirmation of compliance and the matter will be closed.

In the event that the Company does not regain compliance by September 19, 2020, the Company will receive written notification that its securities are subject to delisting.

About SPI Energy Co., Ltd.

SPI Energy is a global provider of photovoltaic ("PV") solutions for business, residential, government and utility customers and investors. The Company develops solar PV projects that are either sold to third party operators or owned and operated by the Company for selling of electricity to the grid in multiple countries in Asia, North America and Europe. The Company's subsidiary in Australia primarily sells solar PV components to retail customers and solar project developers. The Company has its operating headquarter in Hong Kong and its U.S. office in Santa Clara, California. The Company maintains global operations in Asia, Europe, North America and Australia.

For inquiries, please contact:

SPI Energy Co., Ltd.
IR Department
Email: ir@spigroups.com

RedChip Companies
Bruce Haase
(407) 712-8965
bruce@redchip.com

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Form 20-F Form 40-F

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SPI ENERGY CO., LTD.

By: /s/ Xiaofeng Peng

Name: Xiaofeng Peng

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