

6-K 1 spi 6k.htm FORM 6-K

---

---

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, DC 20549**

**FORM 6-K**

**REPORT OF FOREIGN PRIVATE ISSUER  
PURSUANT TO RULE 13a-16 OR 15d-16 UNDER  
THE SECURITIES EXCHANGE ACT OF 1934**

For the Month of July 2019

Commission File No.: 001-37678

**SPI ENERGY CO., LTD.**

Suite 2703, 27/F, China Resources Building  
26 Harbour Road, Wan Chai  
Hong Kong SAR, China  
(Address of Principal Executive Offices.)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F  Form 40-F

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1): \_\_\_\_\_

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7): \_\_\_\_\_

---

**Other Information**

On July 17, 2019 SPI Energy Co., Ltd. (the “Company”) announced that Anthony S. Chan, CPA was named the Chief Financial Officer of the Company effective July 15, 2019. Exhibit 99.1 is a copy of the press release announcing the appointment.

**Financial Statements and Exhibits.**

| <u>Exhibit No.</u> | <u>Description</u>                                |
|--------------------|---|
| 99.1               | <a href="#">Press Release dated July 17, 2019</a> |

**SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

Dated: July 17, 2019

**SPI ENERGY CO., LTD.**

By: /s/ Xiaofeng Peng  
Name: Xiaofeng Peng  
Title: Chief Executive Officer

---

EX-99.1 2 spi\_ex9901.htm PRESS RELEASE  
Exhibit 99.1

**SPI Energy Appoints Seasoned CPA, Anthony S. Chan, as its Chief Financial Officer**

HONG KONG and SANTA CLARA, California, July 17, 2019 (GLOBE NEWSWIRE) -- SPI Energy Co., Ltd. ("SPI Energy" or the "Company") (NASDAQ: SPI), a global provider of photovoltaic ("PV") solutions for business, residential, government and utility customers and investors, today announced that the appointment of Mr. Anthony S. Chan as its Chief Financial Officer, effective July 15, 2019.

Mr. Chan is a seasoned CPA and an established executive with 30 years of professional experience in auditing and SEC reporting, SOX and FCPA compliance, enterprise risk management, business reorganization and mergers and acquisitions. Since 2013, Anthony has advised public and private companies across various industries as their CFO or CFO consultant, focusing on business reorganization, capital raise as well as internal controls and risk management. He was an audit partner specializing in the delivery of assurance and advisory services to public companies with operations in China; and had spent more than a decade at Big Four accounting firms delivering assurance and M&A consulting services. Currently, Mr. Chan is a member of the Board of Directors of the New York State Society of CPAs, Board of Trustees of the Foundation for Accounting Education and the editorial advisory board for the CPA Journal.

Mr. Xiaofeng Peng, Chief Executive Officer of SPI Energy, stated, "We are delighted to have Anthony step into the role of CFO. Anthony is a proven leader and we believe his audit background along with his public company experience will help us establish a solid foundation for effective corporate governance and risk management; strengthen our compliance culture and internal control environment; streamline our process flow and improve our operational efficiency." Mr. Peng added, "Anthony's appointment is critical to the successful re-build of SPI Energy as we expand our business platform in the U.S. and refine our business plan to focus on sustainable earnings growth and profitability."

**About SPI Energy Co., Ltd.**

SPI Energy Co., Ltd. (the "Company") is a global provider of photovoltaic ("PV") solutions for business, residential, government and utility customers and investors. The Company develops solar PV projects that are either sold to third party operators or owned and operated by the Company for selling of electricity to the grid in multiple countries in Asia, North America and Europe. The Company's

subsidiary in Australia primarily sells solar PV components to retail customers and solar project developers. The Company has its operating headquarters in Hong Kong and Santa Clara, California and maintains global operations in Asia, Europe, North America and Australia.

For inquiries, please contact:

SPI Energy Co., Ltd.

IR Department

Email: [ir@spigroups.com](mailto:ir@spigroups.com)

6-K 1 spi 6k.htm FORM 6-K

---

---

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, DC 20549**

**FORM 6-K**

**REPORT OF FOREIGN PRIVATE ISSUER  
PURSUANT TO RULE 13a-16 OR 15d-16 UNDER  
THE SECURITIES EXCHANGE ACT OF 1934**

**For the Month of July 2019**

**Commission File No.: 001-37678**

**SPI ENERGY CO., LTD.**

**Suite 2703, 27/F, China Resources Building  
26 Harbour Road, Wan Chai  
Hong Kong SAR, China  
(Address of Principal Executive Offices.)**

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F  Form 40-F

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1): \_\_\_\_\_

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7): \_\_\_\_\_

---

**Other Information**

On July 17, 2019 SPI Energy Co., Ltd. (the “Company”) announced that Anthony S. Chan, CPA was named the Chief Financial Officer of the Company effective July 15, 2019. Exhibit 99.1 is a copy of the press release announcing the appointment.

**Financial Statements and Exhibits.**

| <u>Exhibit No.</u> | <u>Description</u>                                |
|--------------------|---|
| 99.1               | <a href="#">Press Release dated July 17, 2019</a> |

**SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

Dated: July 17, 2019

**SPI ENERGY CO., LTD.**

By: /s/ Xiaofeng Peng

Name: Xiaofeng Peng

Title: Chief Executive Officer

EX-99.1 2 spi\_ex9901.htm PRESS RELEASE  
Exhibit 99.1

**SPI Energy Appoints Seasoned CPA, Anthony S. Chan, as its Chief Financial Officer**

HONG KONG and SANTA CLARA, California, July 17, 2019 (GLOBE NEWSWIRE) -- SPI Energy Co., Ltd. ("SPI Energy" or the "Company") (NASDAQ: SPI), a global provider of photovoltaic ("PV") solutions for business, residential, government and utility customers and investors, today announced that the appointment of Mr. Anthony S. Chan as its Chief Financial Officer, effective July 15, 2019.

Mr. Chan is a seasoned CPA and an established executive with 30 years of professional experience in auditing and SEC reporting, SOX and FCPA compliance, enterprise risk management, business reorganization and mergers and acquisitions. Since 2013, Anthony has advised public and private companies across various industries as their CFO or CFO consultant, focusing on business reorganization, capital raise as well as internal controls and risk management. He was an audit partner specializing in the delivery of assurance and advisory services to public companies with operations in China; and had spent more than a decade at Big Four accounting firms delivering assurance and M&A consulting services. Currently, Mr. Chan is a member of the Board of Directors of the New York State Society of CPAs, Board of Trustees of the Foundation for Accounting Education and the editorial advisory board for the CPA Journal.

Mr. Xiaofeng Peng, Chief Executive Officer of SPI Energy, stated, "We are delighted to have Anthony step into the role of CFO. Anthony is a proven leader and we believe his audit background along with his public company experience will help us establish a solid foundation for effective corporate governance and risk management; strengthen our compliance culture and internal control environment; streamline our process flow and improve our operational efficiency." Mr. Peng added, "Anthony's appointment is critical to the successful re-build of SPI Energy as we expand our business platform in the U.S. and refine our business plan to focus on sustainable earnings growth and profitability."

**About SPI Energy Co., Ltd.**

SPI Energy Co., Ltd. (the "Company") is a global provider of photovoltaic ("PV") solutions for business, residential, government and utility customers and investors. The Company develops solar PV projects that are either sold to third party operators or owned and operated by the Company for selling of electricity to the grid in multiple countries in Asia, North America and Europe. The Company's subsidiary in Australia primarily sells solar PV components to retail customers and solar project developers. The Company has its operating headquarters in Hong Kong and Santa Clara, California and maintains global operations in Asia, Europe, North America and Australia.

For inquiries, please contact:  
SPI Energy Co., Ltd.  
IR Department  
Email: [ir@spigroups.com](mailto:ir@spigroups.com)