



Global Securities

25 - 29 August, 2019 | 9am - 5pm | Dubai

In Association With



About the Course

Global Securities focuses on key concepts and principles relating to the creation, valuation, trading and management of financial instruments, comprehensive coverage of equities, debt securities and prime brokerage including primary and secondary markets enhanced coverage of valuation and accounting analysis as well as the risk/reward relationship of investment strategies.

The objective of the examination is to ensure that candidates have a basic knowledge of market infrastructure, operation, regulation and practice.

This unit provides those advising and/or dealing in securities with the knowledge and skills required for their job roles. It is the same as Unit 2 Securities but without examining tax credits on dividends or special regulatory requirements.

Who should attend | Target Audience

Under the SCA Licensing Regime, this qualification is required for the following job functions:

- Broker Representative
- Compliance Officer / Anti-Money Laundering Officer / Internal Controller
- Trading / Operations Manager
- Financial Analyst

Key Benefits | Global Securities

- ▶ Global recognition
- ▶ ACSI designatory letters
- ▶ Requires no previous qualifications
- ▶ Demonstrates to clients a high level of competence amongst staff
- ▶ Test centers available across the world
- ▶ Exam can be taken any time of the year
- ▶ Free CISI Student membership

What you will learn

- ▶ Asset Classes
- ▶ Primary Markets
- ▶ Secondary Markets
- ▶ Capital Adjustments
- ▶ Clearing and Settlement
- ▶ Accounting analysis
- ▶ Risk and reward

Course outline

Asset Classes

- ▶ Shares
- ▶ Debt instruments
- ▶ Government Debt
- ▶ Corporate Debt
- ▶ Money Markets
- ▶ Eurobonds
- ▶ Other securities
- ▶ Foreign Exchange
- ▶ Stock Borrowing and Lending
- ▶ Collective Investments

Primary Markets

- ▶ Principal characteristics
- ▶ Participants Involved with offers
- ▶ Types of Offer
- ▶ Bond Offerings
- ▶ London Stock Exchange
- ▶ AIM
- ▶ Government Bonds

Secondary Markets

- ▶ Trading venues
- ▶ Methods of Trading and Participants
- ▶ London Stock Exchange (LSE) – UK
- ▶ Equity
- ▶ London Stock Exchange International
- ▶ Equity Market

- ▶ Costs of Trading
- ▶ Other Equity Markets
- ▶ Indices
- ▶ Government Bonds
- ▶ Corporate Bond Markets
- ▶ Dealing Methods

Capital Adjustments

- ▶ Corporate Actions
- ▶ Share capital and changes to share ownership

Clearing and Settlement

- ▶ Activities

Accounting Analysis

- ▶ Basic Principles
- ▶ Statements of Financial Position
- ▶ Income Statement
- ▶ Cash Flow Statement
- ▶ Financial Statements Analysis

Risk and Reward

- ▶ Investment management
- ▶ Institutional Investment Advice

Course Fee:

AED 6,500 per person including VAT

Pricing

1 delegate	AED 6,500
2 delegates	AED 11,050
3 delegates	AED 15,600

Includes:

- ▶ 32 hours instructor-led training
- ▶ Training slide pack
- ▶ Lunch and beverages
- ▶ Participation certificate
- ▶ Free access to Tadawul's elearning portal (TadawulExams.com)

Exam Registration Fee:

GBP 336 / person (Exam & Workbook £306.00 + £30.00 CISI registration for new students)

- ▶ One exam sitting
- ▶ Workbook in PDF format and ebook (where applicable)
- ▶ Access to Revision Express elearning product unless otherwise indicated.

English & Arabic workbooks are available directly from CISI upon registration. For exam registration and other information, please visit

<https://bit.ly/2GCepk3>

Please register for the course by sending an e-mail to academy@nasdaqdubai.com.

For details, please call: **Sana Belgaonkar | +971 4 305 5469**



Trainer | Speaker Profile

Karim Mansour founded Tadawul Academy in 2014 and saw it grow to be a leading training provider for CISI and investment-focused programmes in the GCC. An established finance professional, Karim has a track record of delivering training in the UAE, Qatar and West Africa (Sierra Leone and Liberia) in three languages: English, Arabic and Krio.

Karim founded the Banker Middle East Intelligence Unit as part of his CFO function at CPI Financial. He also authored the esteemed BME 100 annual analytical publication for the years 2012, 2013 and 2014.

BOOKING FORM

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DELEGATE DETAILS

Name	Job Title	Email Address	Telephone

WHO TO INVOICE AND CONTACT?

Please tell us who we should invoice. It is also helpful to have the name of the administrator with whom we can liaise directly.

Contact person for invoicing:			
Job Title:	Tel:	Fax:	Tel:
Email:			
Contact person to send administration details:			
Job Title:	Tel:	Fax:	Tel:
Email:			

Payment can be made by cheque or bank transfer. A notification will be sent to you once payment has been received.

I wish to pay by: Cheque/bankdraft Telegraphic transfer

Please note all telegraphic transfer fees, taxes and levies (domestic or otherwise) shall be borne by the sponsoring organization.

Payment made by foreign cheque will be charged additional bank fees of 1% of the invoice amount.

APPROVING MANAGER

To process your registration we require the name and signature of a manager who is authorized by your organization to approve training expenditure:

Name:	Job Title:
Organization:	
Email:	Tel:
Authorizing signature: (Mandatory)	

Yes, I have read and understood the booking and cancellation policy below.

TO REGISTER

Email: academy@nasdaqdubai.com or book online: <http://www.nasdaqdubai.com/academy>

If you require assistance, please call us on: +91 4 305 5069 or +971 4 305 5464

Our address: Level 8, The Exchange Building GV 11, Dubai International Financial Centre, Dubai-UAE

Booking, Payment and Cancellation Policy— important, please read carefully

By completing, signing and sending us this registration form you are confirming delegate/s place/s on the course. You are also confirming your understanding of our Booking, Payment and Cancellation Policy

Cancellation: If delegates cannot attend the course, replacement participants are welcome. Otherwise delegates must request in writing by email to cancel registration/s or transfer to a different course at least 15 days before the course start date to be eligible for refund, less a 5% admin fee. Delegates who cancel within 15 days of the course date, or who do not attend (in case of no show) are liable to pay the full course fee and no refunds will be given. Instead the delegate can attend a different course within one year of the invoice date. If a course is postponed for whatever reason, delegate bookings will be automatically transferred to the new course date. Delegates who wish to transfer to a different course will be subject to the same terms as above and will be charged the difference in course fees.

Payment Terms: All course fees are to be received before the start date. Nasdaq Dubai shall receive the full course fee with no deductions of any description. All telegraphic transfer fees, taxes and levies (domestic or otherwise) shall be borne by the sponsoring organization. © NASDAQ Dubai reserves the right to amend the published program and/or replace the speaker. In the event of course cancellation by Nasdaq Dubai due to unforeseen circumstances, Nasdaq Dubai is liable to refund only the course fee.

Course Venue: Full details of the venue will be sent to you upon registration.