

**DalzellTrading (DLZT) 606 Report**  
**2018 4th Quarter**

**EQUITY-NASDAQ 20181001 to 20181231**

<u>Venue</u>	<u>Total</u>	<u>Limit</u>	<u>Market</u>	<u>Other</u>	
TOTAL	411	261	150	0	0
JEFF	411	261	150	0	0

**EQUITY-NYSE 20181001 to 20181231**

<u>Venue</u>	<u>Total</u>	<u>Limit</u>	<u>Market</u>	<u>Other</u>	
TOTAL	272	121	151	0	0
JEFF	272	121	151	0	0

**OPTION 20181001 to 20181231**

<u>Venue</u>	<u>Total</u>	<u>Limit</u>	<u>Market</u>	<u>Other</u>	
TOTAL	11	0	11	0	0
SUSQ	11	0	11	0	0

**Percentages:**

**EQUITY-NASDAQ 20181001 to 20181231**

<u>Venue</u>	<u>Total</u>	<u>Limit</u>	<u>Market</u>	<u>Other</u>	
TOTAL	100	63.5	36.5	0	0
JEFF	100	100	100	0	0

**EQUITY-NYSE 20181001 to 20181231**

<u>Venue</u>	<u>Total</u>	<u>Limit</u>	<u>Market</u>	<u>Other</u>	
TOTAL	100	44.5	55.5	0	0
JEFF	100	100	100	0	0

**OPTION 20181001 to 20181231**

<u>Venue</u>	<u>Total</u>	<u>Limit</u>	<u>Market</u>	<u>Other</u>	
TOTAL	100	0	100	0	0
SUSQ	100	0	100	0	0