

## DLCS ASSESSMENT CRITERIA MAP for AS/NZS 27001, NAT v3 and SafetyMAP Initial

DLCS Assessment Criteria		ISO / IEC 27001:2013		NAT OHS Audit Tool v3		SafetyMAP Initial Level	
<b>4</b>	<b>CONTEXT OF THE ORGANISATION</b>	<b>4</b>	<b>CONTEXT OF THE ORGANISATION</b>				
4.1	Understanding the organisation and its context	4.1	Understanding the organisation and its context				
4.2	Understanding the needs and expectations of interested parties	4.2	Understanding the needs and expectations of interested parties				
4.3	Determining the scope of the management system	4.3	Determining the scope of the information security management system				
4.4	Management system and its processes	4.4	information security management system				
<b>5</b>	<b>LEADERSHIP</b>	<b>5</b>	<b>LEADERSHIP</b>				
5.1	Leadership Commitment	5.1	Leadership Commitment				
5.2	Policy	5.2	Policy	<b>1</b>	<b>HEALTH AND SAFETY POLICY</b>	<b>1</b>	<b>HEALTH AND SAFETY POLICY</b>
5.3	Organisational roles, responsibilities and authorities	5.3	Organisational roles, responsibilities and authorities	3.2	Structure and responsibility - responsibility and accountability	3.2	Structure and responsibility - responsibility and accountability
<b>6</b>	<b>PLANNING</b>	<b>6</b>	<b>PLANNING</b>	<b>2</b>	<b>PLANNING</b>	<b>2</b>	<b>PLANNING</b>
6.1	Actions to address risks and opportunities	6.1	Actions to address risks and opportunities	2.1	Legal requirements and practical guidance	2.1	Legal requirements and practical guidance
6.2	Objectives and planning to achieve them	6.2	Information security objectives and planning to achieve them	2.2 2.3 3.9	Objectives and targets Health and safety management plans Health and safety risk management program	2.2 2.3 3.9	Objectives and targets Health and safety management plans Health and safety risk management program
6.3	Planning of changes						
<b>7</b>	<b>SUPPORT</b>	<b>7</b>	<b>SUPPORT</b>				
7.1	Resources	7.1	Resources	3.1	Structure and responsibility - resources	3.1	Structure and responsibility - resources
7.2	Competence & Awareness	7.2 7.3	Competence Awareness	3.3	Structure and responsibility - training and competency	3.3	Structure and responsibility - training and competency
7.3	Communication	7.4	Communication	3.4 3.5 3.6	Consultation, communication and reporting - consultation Consultation, communication and reporting - communication Consultation, communication and reporting - reporting	3.4 3.5 3.6	Consultation, communication and reporting - consultation Consultation, communication and reporting - communication Consultation, communication and reporting - reporting
7.4	Documented information	7.5	Documented information	3.7 3.8 4.4	Documentation Document and data control Records and record management	3.7 3.8 4.4	Documentation Document and data control Records and record management
<b>8</b>	<b>OPERATION</b>	<b>8</b>	<b>OPERATION</b>	<b>3</b>	<b>IMPLEMENTATION</b>	<b>3</b>	<b>IMPLEMENTATION</b>

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8.1	Operational planning and control	8.1	Operational planning and control	3.10	Hazard identification, risk assessment and control of risks	3.10	Hazard identification, risk assessment and control of risks
		8.2	Information security risk assessment				
		8.3	Information security risk treatment				
8.8	Emergency preparedness and response			3.11	Emergency preparedness and response	3.11	Emergency preparedness and response
<b>9</b>	<b>PERFORMNCE EVALUATION</b>	<b>9</b>	<b>PERFORMNCE EVALUATION</b>	<b>4</b>	<b>MEASUREMENT AND EVALUATION</b>		
9.1	Monitoring, measurement, analysis and evaluation	9.1	Monitoring, measurement, analysis and evaluation	4.1	Monitoring and measurement - general	4.1	Monitoring and measurement - general
9.2	Internal audit	9.2	Internal audit	4.2	Monitoring and measurement - health surveillance	4.2	Monitoring and measurement - health surveillance
9.3	Management review	9.3	Management review	4.5	Health and safety management system audits		
<b>10</b>	<b>IMPROVEMENT</b>	<b>10</b>	<b>IMPROVEMENT</b>	<b>5</b>	<b>MANAGEMENT REVIEW</b>	<b>5</b>	<b>MANAGEMENT REVIEW</b>
10.1	General					<b>4</b>	<b>MEASUREMENT AND EVALUATION</b>
10.2	Nonconformity and corrective action	10.2	Nonconformity and corrective action	4.3	Incident investigation, corrective and preventive action	4.3	Incident investigation, corrective and preventive action
10.3	Continual improvement	10.3	Continual improvement				